

FROM OUR RESEARCH
SUCCESS SERIES

SHOW ME THE MONEY



Ellen Fisher
Vice President
for Research



Monica Fishel
Associate Director
Faculty Research
Development Office



Peter Fawcett
Chair
Earth and Planetary
Sciences



Janie Chermak
Chair
Economics

- **External:** Funding moves from outside entity to UNM (typically *not* to an individual), e.g.
 - Grants
 - Cooperative Agreements
 - Contracts
 - Gifts
- **Internal:** Funding moves from one UNM

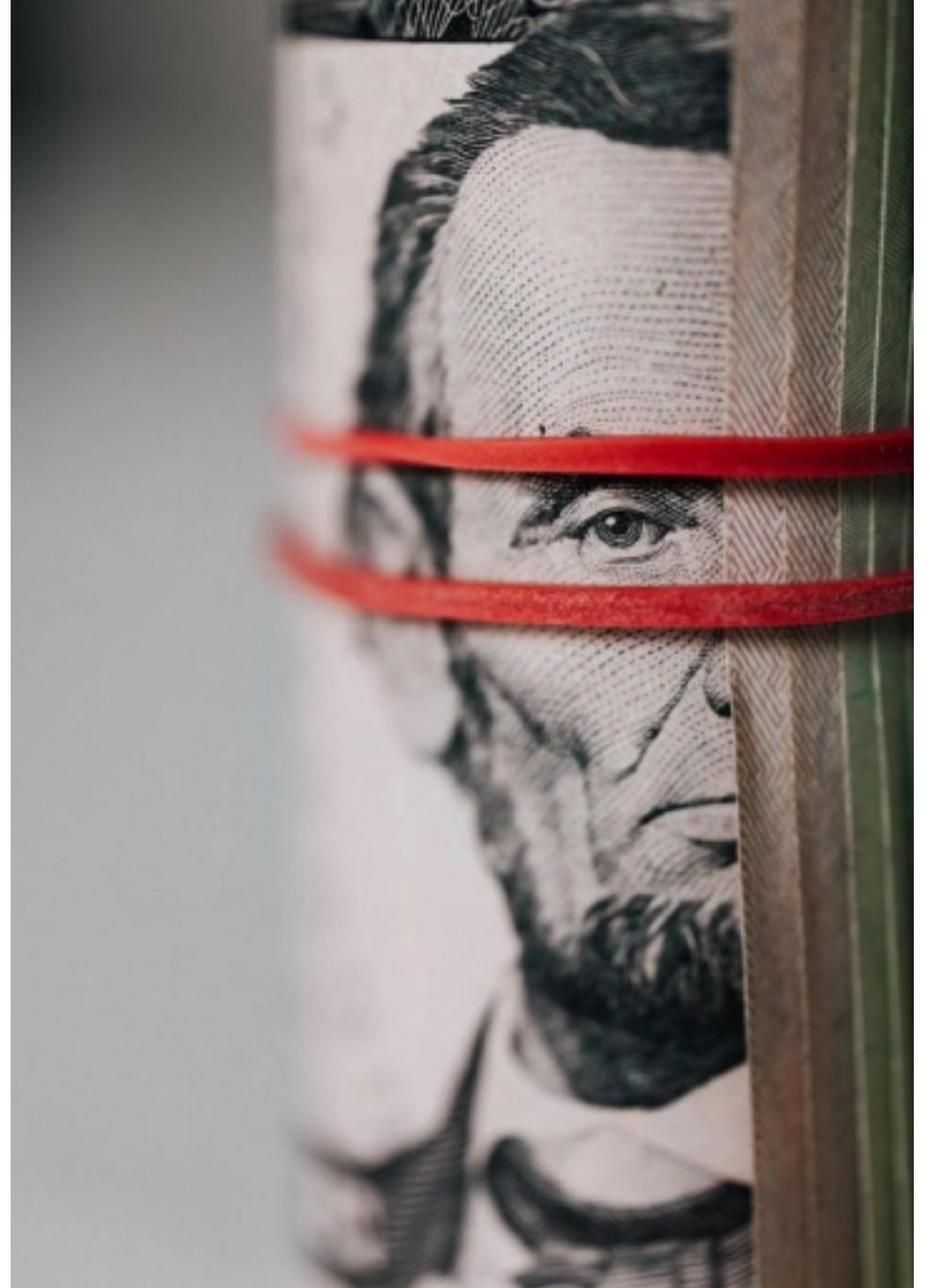


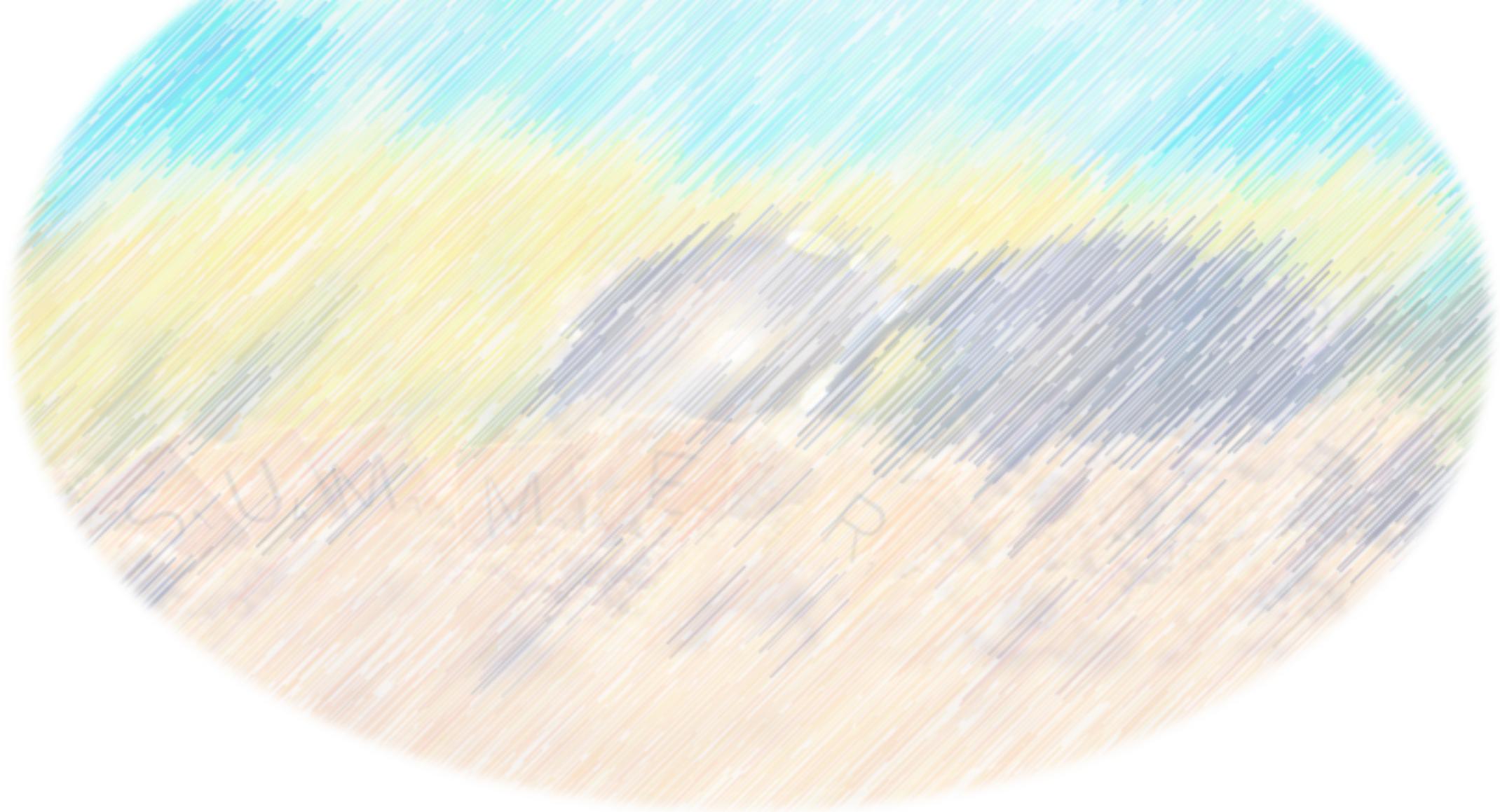
RESOURCES

- The **Faculty Research Development Office (FRDO)** can support faculty with research and proposal development, including consulting on budget development (<https://frdo.unm.edu>)
- The **Office of Sponsored Projects (OSP)** provides support and assistance with proposal submission and management of successfully awarded projects of successfully awarded projects to ensure project success and compliance throughout the sponsored projects lifecycle (<https://osp.unm.edu>)

- The **Office of Contract and Grant Accounting (CGA)** serves as a key partner to PIs in fiscally managing awards from beginning to end (<https://cgacct.unm.edu>)
- **Your fiscal monitor or department accountant** is the best person to contact about how to spend award funds and manage your award financially (<http://cgacct.unm.edu/contact-us/dept-assignments.html>)
- **The UNM Foundation** raises, invests and manages private gifts through the cultivation of long-term partnerships with donors, and by matching their interests to the University's priorities (<https://www.unmfund.org>)
- **GMT 100** in Learning Central provides an overview of grants management and is required every 3 years for PIs

- Summer salary
- Student salary vs stipend
- Indirect costs
- Prior approval
- New – DOE COI Disclosure Rules





SUMMER SALARY

- Must be on a 9-month appointment

- Office for Academic Personnel: Summer Salary Guidelines:
<https://ofas.unm.edu/resources/summer-research-guidelines/index.html>

- NSF
 - limits the salary compensation requested in the proposal budget for senior personnel to no more than **two months** of their regular salary in any one year.
 - This limit includes salary compensation received **from all NSF-funded grants.**
 - contractor to do the work if the student was not working on the project?
 - Is the student selected because of knowledge and/or experience?

Salary

- Is the primary benefit of the student's work the University's restricted award?
- Would the University have to hire an employee or

Stipend

- Is the primary benefit of the student's work for the student, such as to complete a dissertation or further the student's training and/or education?
- Will the tasks performed contribute to the development of the student's skills needed in their studies?
- Will the student be determining the activities to be performed or are the activities required for a degree or impacts the student's grades?
- Is the activity an incidental benefit to the University?

- F&A
- Overhead
-

<https://osp.unm.edu/resources/unmratea>

■ As of 7/1/2022

- 52.5% on campus research
- 53% instruction
- 45% other sponsored programs
- Off campus rate remains 26%



■ NSF

- Budget floor and ceiling includes IDC

■ NIH

- Budget floor and ceiling is total direct costs – not including IDC

- Revision of budget and program

- plans
- Change in scope

- Change in key person

- Disengagement from the project for more than three months, or a 25% reduction in time devoted to the project by the PI.

- Subawards

- Transfer of funds into or out of Participant support costs
- Foreign travel



- Equipment

- NSF publishes a Prior Approval Matrix

https://www.nsf.gov/bfa/dias/policy/fedrctc/appendix_a.pdf

- DOE's Financial Assistance Letter (FAL) 2022-02 new requirement

- **project-specific** disclosures in addition to UNM's annual updates for DOE Projects and Proposals.

- Office of Research Integrity and Compliance (ORIC)

- The new disclosure can be found at <https://researchcompliance.unm.edu/conflict-of-interest/submit-a-disclosure.html> (the purple link).

**2021-2022 DOE Project
Specific Disclosure: Dept.
of Energy (DOE) Funded
Projects**