FROM OUR RESEARCH SUCCESS SERIES

SHOW ME THE MONEY

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**External**: Funding moves from outside entity to UNM (typically *not* to an individual), e.g.
- Grants
- Cooperative Agreements
- Contracts
- Gifts

**Internal**: Funding moves from one UNM account to another.
RESOURCES

- The **Faculty Research Development Office (FRDO)** can support faculty with research and proposal development, including consulting on budget development ([https://frdo.unm.edu](https://frdo.unm.edu))

- The **Office of Sponsored Projects (OSP)** provides support and assistance with proposal submission and management of successfully awarded projects to ensure project success and compliance throughout the sponsored projects lifecycle ([https://osp.unm.edu](https://osp.unm.edu))
The **Office of Contract and Grant Accounting (CGA)** serves as a key partner to PIs in fiscally managing awards from beginning to end ([https://cgacct.unm.edu](https://cgacct.unm.edu)).

Your **fiscal monitor or department accountant** is the best person to contact about how to spend award funds and manage your award financially ([http://cgacct.unm.edu/contact-us/dept-assignments.html](http://cgacct.unm.edu/contact-us/dept-assignments.html)).

The **UNM Foundation** raises, invests and manages private gifts through the cultivation of long-term partnerships with donors, and by matching their interests to the University’s priorities ([https://www.unmfund.org](https://www.unmfund.org)).

**GMT 100** in Learning Central provides an overview of grants management and is required every 3 years for PIs.
- Summer salary
- Student salary vs stipend
- Indirect costs
- Prior approval
- New – DOE COI Disclosure Rules
SUMMER SALARY
Must be on a 9-month appointment

Office for Academic Personnel: Summer Salary Guidelines:
https://ofas.unm.edu/resources/summer-research-guidelines/index.html

NSF
- limits the salary compensation requested in the proposal budget for senior personnel to no more than two months of their regular salary in any one year.
- This limit includes salary compensation received from all NSF-funded grants.

STUDENT SALARY VS STIPEND

**Salary**
- Is the primary benefit of the student's work the University's restricted award?
- Would the University have to hire an employee or contractor to do the work if the student was not working on the project?
- Is the student selected because of knowledge and/or experience?

**Stipend**
- Is the primary benefit of the student's work for the student, such as to complete a dissertation or further the student's training and/or education?
- Will the tasks performed contribute to the development of the student's skills needed in their studies?
- Will the student be determining the activities to be performed or are the activities required for a degree or impacts the student's grades?
- Is the activity an incidental benefit to the University?

**INDIRECT COSTS**

- F&A
- Overhead

[https://osp.unm.edu/resources/unmratae](https://osp.unm.edu/resources/unmratae)

**As of 7/1/2022**

- 52.5% on campus research
- 53% instruction
- 45% other sponsored programs
- Off campus rate remains 26%

**NSF**

- Budget floor and ceiling includes IDC

**NIH**

- Budget floor and ceiling is total direct costs – not including IDC
PRIOR APPROVAL REQUIRED - FEDERAL

- Revision of budget and program plans
- Change in scope
- Change in key person
  - Disengagement from the project for more than three months, or a 25% reduction in time devoted to the project by the PI.

- Subawards
- Transfer of funds into or out of Participant support costs
- Foreign travel
- **Equipment**

- NSF publishes a Prior Approval Matrix
  

- **DOE’s Financial Assistance Letter (FAL) 2022-02 new requirement**

  - **project-specific** disclosures in addition to UNM’s annual updates for DOE Projects and Proposals.

- **Office of Research Integrity and Compliance (ORIC)**

  - The new disclosure can be found at https://researchcompliance.unm.edu/conflict-of-interest/submit-a-disclosure.html (the purple link).
2021-2022 DOE Project Specific Disclosure: Dept. of Energy (DOE) Funded Projects